CURRENT ACADEMIC YEAR 2019 / 2020

Application for Transfer to OR Confirmation on, the PhD Register

On completion, this form should be returned to Student Awards, Registry at least two weeks in advance of the scheduled meeting of the Graduate Research Studies Board (GRSB). See Schedule of Meetings [http://www.dcu.ie/ovpaa/ac.shtml](http://www.dcu.ie/ovpaa/ac.shtml)

*(N.B. Only typed forms will be accepted by GRSB. Please print single-sided.)*

### A. CANDIDATE DETAILS

<table>
<thead>
<tr>
<th>Name of Candidate</th>
<th>Current Registration Mode (please tick as appropriate)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ID Number</td>
<td>Full-time ☐ Part-time ☐</td>
</tr>
<tr>
<td>Date of Entry onto the Research Programme</td>
<td>Number of Months Research Completed</td>
</tr>
<tr>
<td>Current Registration Status (please tick as appropriate)</td>
<td>Master’s ☐ PhD-track ☐</td>
</tr>
<tr>
<td>Anticipated Thesis Format (please tick as appropriate)</td>
<td>Monograph ☐ Publication ☐ Artefact ☐ Creative/Performance Practice ☐</td>
</tr>
</tbody>
</table>

**Title of Thesis**

**School**

<table>
<thead>
<tr>
<th>Supervisor(s)</th>
<th>Principal/Joint Principals</th>
<th>Secondary Internal (where relevant)</th>
<th>Secondary External (where relevant)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Independent Panel Member(s)*</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Internal Examiner(s)**</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Independent Panel Member(s) and Secondary Supervisor(s) are not required to sign this form.

** The Independent Panel Member cannot act as the Internal Examiner for confirmation or transfer (ref Section 8.2.1 of the Academic Regulations for Postgraduate Degrees by Research and Thesis).
B. EVALUATION

As these reports constitute a formal record of an important university process, comments should allude to the specifics of the project, and be reasonably detailed as per 8.2.2 of the Academic Regulations. This does not preclude provision of annotated documents or other extensive feedback on minor issues directly to the student.

The Principal Supervisor(s) together with Internal Examiner(s) nominated by the Head of School are required to:

i. evaluate critically the student’s written submission on the programme of research carried out to date and jointly complete a report;
ii. subject the student to an oral examination to determine progress, potential and suitability for confirmation/transfer and to ascertain whether or not the future work envisaged is likely to be appropriate to merit consideration for the award of a PhD.

Please confirm that the following has been conducted in accordance with the Academic Regulations, by ticking the boxes:

| Evaluation completed jointly by Principal Supervisor and Internal Examiner. | Yes ☐ | No ☐ |
| An oral examination was carried out for the purposes of the transfer / confirmation exercise. | Yes ☐ | No ☐ |

i) Report on Written Submission
(to be completed jointly by Principal Supervisor(s) and Internal Examiner(s), using the statements provided - circa 300 words)

a) Please summarise the research conducted to date as presented in the report:

b) Please indicate if the candidate’s progress to date has been deemed satisfactory and outline the reasons for the supervisor(s) and examiner(s) decision:

c) Please outline why the programme of envisaged research, provides or fails to provide a satisfactory basis to meet the intended standard at a PhD level:

ii) Report on Oral Examination
(to be completed jointly by Principal Supervisor(s) and Internal Examiner(s), using the statements provided - circa 300 words)

Date of Oral Examination:

a) Please comment on the manner in which the candidate engaged with any questions and/or issues raised by the supervisor(s)/examiner(s) during the Oral Examination:
CURRENT ACADEMIC YEAR 2019 / 2020

Please indicate if the award is subject to any joint agreements. If so, give details:

C. COMMENTS (to be completed jointly by Principal Supervisor(s) and Internal Examiner(s))

a) The Principal Supervisor(s) and Internal Examiner(s) are asked to provide below details of specific guidance, which may be of assistance to the student in his/her future programme of research:

OR

b) If the outcome of the confirmation procedure is unsuccessful or requires a re-attempt, the Principal Supervisor(s) and Internal Examiner(s) are asked to provide below details of specific reasons for this decision or guidance which may be of assistance to the student in his/her future programme of research:

D. RECOMMENDATIONS

The Principal Supervisor(s) and Internal Examiner(s) are asked to indicate their recommendation by placing a tick (✔) in the relevant box(s).

(i) ☐ We concur with the recommendations stated in this report and certify that the candidate should Confirm on/Transfer to the PhD Register.

Or

(ii) ☐ We concur with the recommendations stated in this report and certify that the candidate should not Confirm on/Transfer to the PhD Register.

If the recommendation is for candidate not to confirm/transfer please also select one of the following by placing a tick (✔) in the relevant box(s).

☐ We further concur that the candidate may undergo a second transfer process within 6 months

☐ We further concur that the candidate is recommended to transfer to the Master’s Register and complete such research as will allow him/her to graduate with a Master’s degree.

Sign: __________________________ Print: __________________________ Date: ________________
Principal Supervisor(s)

Sign: __________________________ Print: __________________________ Date: ________________
Internal Examiner(s)

Insert additional signature lines if required and identify the role of that person.
**E. ENDORSEMENT BY HEAD OF SCHOOL**

All applications for transfer to or confirmation on the PhD Register must carry the endorsement of the Head of School and a copy of the report must be provided to the candidate. This should be indicated by completion of the statements below.

I concur with the recommendations stated above:

Sign: ______________________  Print: ______________________  Date: ___________

**Head of School or Nominee** (A Nominee may be the Research Convenor or Deputy Head)

Countersignature*: ______________________  Print: ______________________  Date: ___________

*Where the Principal Supervisor or the Internal Examiner is also the Head of School, a countersignature is required (e.g. by a Research Convenor or Deputy Head of School).

**F. STUDENT ACKNOWLEDGEMENT**

I have read and note the recommendations stated above:

Sign: ______________________  Print: ______________________  Date: ___________

**Data Protection Notice**

Personal information that you submit to Registry in connection with any service provision will be treated in accordance with the Registry Data Protection Notice, which can be viewed at the following website address: [https://www.dcu.ie/registry/data-protection-notice.shtml](https://www.dcu.ie/registry/data-protection-notice.shtml)